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RECEIPT #5  
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PATENTS  
CF/019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Philip M. Ginsberg et al.  
Application No. : 09/853,430  
Confirmation No. : 6761  
Filed : May 10, 2001  
For : SYSTEMS AND METHODS FOR ANONYMOUS  
ELECTRONIC TRADING  
Group Art Unit : 2164

New York, New York 10020  
July 27, 2001

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Enclosed is a copy of a Filing Receipt issued by the Patent and Trademark Office in connection with the above-identified patent application. As shown on the attached marked-up copy of the filing receipt, the title stated in the Filing Receipt incorrectly contains the word "system" instead of "systems".

Accordingly, applicants respectfully request that the Patent and Trademark Office issue a corrected Filing Receipt in which the title of the application is correctly

Receipt in which the title of the application is correctly stated as "Systems and Methods for Anonymous Electronic Trading." The error in the Filing Receipt is believed to be the responsibility of the Patent and Trademark Office. However, if for any reason the error is deemed to be the responsibility of the applicants, the Director is hereby authorized to charge any fee that may be due in connection with this Request to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.



Respectfully submitted,

A handwritten signature in black ink, appearing to read "Matthew T. Byrne".

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I hereby Certify that this  
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COMMISSIONER FOR  
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WASHINGTON, D.C. 20231 on

CLARENCE A. FAIRCHILD

Signature of Person Signing



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/853,430	05/10/2001	2164	898	CF/019	11	26	4

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CONFIRMATION NO. 6761

## FILING RECEIPT



\*OC0000000626872\*

Date Mailed: 07/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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**RECEIVED**

JUL 13 2001

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/223,028 08/04/2000

FISH & NEAVE - PATENT DEPT.  
REFERRED TO JBM  
NOTED BY                     

## Foreign Applications

If Required, Foreign Filing License Granted 07/06/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Systems  
~~System~~ and methods for anonymous electronic trading

## Preliminary Class

705